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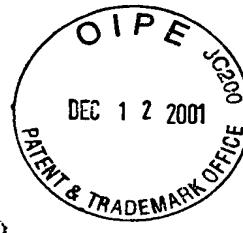
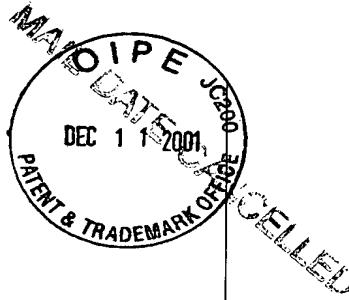
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nemerow et al.

Serial No.: 09/903,327

Conf. No.: 7374

Filed: July 10, 2001



For: BIFUNCTIONAL MOLECULES AND  
VECTORS COMPLEXED THEREWITH FOR  
TARGETED GENE DELIVERY

Art Unit: 1632

Examiner: Unassigned

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Information Disclosure Statement, Forms PTO-1449 (19 pages), and some of the cited references for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

( X )

The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By:

  
Stephanie Seidman  
Registration No. 33,779

Dated: December 11, 2001

Attorney Docket 22908-1228B

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nemerow *et al.*

Serial No.: 09/903,327  
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For: *BIFUNCTIONAL MOLECULES AND VECTORS COMPLEXED THEREWITH FOR TARGETED GENE DELIVERY*

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**INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§ 1.97-1.98. Forms PTO-1449 (19 pages) and some of the references are provided herewith. In accordance with 37 C.F.R. § 1.98(d), copies of the references listed on the Form PTO-1449, and marked with an asterisk in the first column, which have been previously provided in connection with applications U.S. Serial No. 09/613,017 converted to a provisional application on 07/10/01, which is relied upon for an earlier filing date in accordance with 35

**U.S.S.N 09/903,327**  
**Nemerow et al.**  
**Information Disclosure Statement**

U.S.C. §119(e), are not provided herewith.

The documents cited on the Forms PTO-1449 and supplied herewith are in the English language, with the exception of items BL, BQ, BR, BS, BV, BU and CA. Item BL, BQ, BR, and BS (International PCT Application WO 00/53790, German Patent Publications DE 19807265, DE 19849643, and DE 19933288 respectively) are in the German language and are supplied with an English language Derwent abstract describing the subject matter (items DS, DT, DU, and DV respectively). Items BV and CA (French Patent No. FR 2758822 and International PCT Application WO 98/33929 respectively) are in the French language. Item BV is supplied with an English language Derwent abstract describing the subject matter (item DY) and item CA includes an English language abstract. Item BU (Japanese Patent No. JP 2000 290298) is in the Japanese language is supplied with an English language Derwent abstract describing the subject matter (item DX). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following pending U.S. and International applications which are commonly owned and/or have one or more inventors in common.

<b><u>U.S.S.N.(App. no.)</u></b>	<b><u>Filing Date</u></b>	<b><u>Docket No.</u></b>
09/562,934	05/01/00	1226
09/847,101	05/01/01	1226B
09/433,042	10/25/99	1227
09/586,625	06/02/00	1227B
09/613,017	07/10/00	1228

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R.

**U.S.S.N 09/903,327  
Nemerow *et al.*  
Information Disclosure Statement**

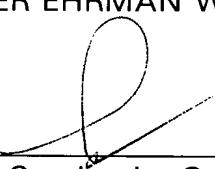
§1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

\* \* \*

Respectfully submitted,  
**HELLER EHRMAN WHITE & McAULIFFE LLP**

By: \_\_\_\_\_

  
Stephanie Seidman  
Registration No. 33, 779

**Dated: December 11, 2001**

Attorney Docket 22908-1228B

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